**FORM PTO-1083** 



Attorney Docket St. 4019365KYEENTRMA

In re Application of: Application No.

PARIKH et al. 09/443.863

Filed:

November 19, 1999

For:

DISPERSIBLE PHOSPHOLIPID STABILIZED MICROPARTICLES

**COMMISSIONER FOR PATENTS** Washington, D.C. 20231

	•							
Sir:	:							
Tra	ansmitted herewith is a respon	se in the subject	application.					
	Applicants claim small entity status of this application under 37 CFR 1.27.							
$\boxtimes$	Petition for Extension of Time Applicants petition for a one-month extension of time under 37 CFR 1.136, the fee for which is \$110.00 (enclosed). Applicants believe that no petition for an extension of time is necessary. However, to the extent that such petition is deemed necessary, Applicants hereby petition for a sufficient extension of time to render the present submission timely. Please charge Deposit Account No. 12-1216 for the appropriate petition fee.							
$\boxtimes$	No additional claim fee is required.							
	Other:							
The	e claim fee has been calculate	d as shown belo	w:	_			<del>,</del>	
					SMALL ENTITY		OTHER THAN A SMAL ENTITY	
	CLAIMS REMAININ AFTER	G	HIGHEST NUMBER PREVIOUSLY	EXTRA CLAIMS		ADDIT.		ADDIT.

PAID FOR

☐ Please charge my Deposit Account No. 12-1216 in the amount of \$

AMENDMENT

FIRST PRESENTATION OF MULTIPLE CLAIM

. A duplicate copy of this sheet is attached.

FEE

\$

\$

\$

\$

RATE

x 18 =

x 84 =

+ 280=

**TOTAL** 

RATE

x 9=

x 42=

+ 140=

TOTAL

FEE

\$

\$

\$

\$

A check in the amount of \$

is attached.

MINUS

Minus

🛛 The Commissioner is hereby authorized to charge any deficiencies in the following fees associated with this communication or credit any overpayment to Deposit Account No. 12-1216. A duplicate copy of this sheet is attached. Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Shannon Schemel, Reg. No. 47,926

**PRESENT** 

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TOTAL

INDEPENDENT

Attorney Docket No. THE UNITED STATES PATENT AND TRADEMARK OFFICE CARRY

In re Application of:

PARIKH et al.

Application No. 09/443,863

Filed: November 19, 1999

Art Unit: 1615

Examiner: G. Kishore

For:

**DISPERSIBLE PHPOSPHOLIPID** STABILIZED MICROPARTICLES

## RESPONSE

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice of Non-Compliant Amendment (Voluntary Revised Practice), Applicants have recast the Amendment as set forth below. A copy of the Notice is attached. Please enter the following amendments and consider the following remarks.

## **AMENDMENTS**

*IN THE SPECIFICATION:* 

Replace the paragraph beginning at page 3, line 9 with:

US Patent 5,302,401 describes a method to reduce particle size growth during lyophilization. It discloses a composition containing particles having a surface modifier adsorbed onto the surface together with a cryoprotectant, the cryoprotectant present in an amount sufficient to form a nanoparticle-cryoprotectant composition. A preferred surface modifier is polyvinylpyrrolidone, and a preferred cryoprotectant is a carbohydrate such as sucrose. Also described are methods of making particles having a surface modifier adsorbed on to the surface and a cryoprotectant associated with it. The patent refers specifically to 5% Danazol with 1.5% PVP and sucrose (2%) or mannitol (2%) as the cryoprotectant. Thus while various cryoprotectants are available and function adequately to protect the active agent during lyophilization, the solid product that results is often difficult to redisperse in aqueous media.